



MEETING: AUDIT AND GOVERNANCE COMMITTEE
DATE: Wednesday 23rd June, 2021
TIME: 3.00 pm
VENUE: The Ballroom - Bootle Town Hall, Trinity Road, Bootle, L20 7AE

Member

Councillor Robinson (Chair)
Councillor Roche (Vice-Chair)
Councillor d'Albuquerque
Councillor Dowd
Councillor McGinnity
Councillor John Joseph Kelly
Councillor Pugh
Councillor John Sayers
Councillor Shaw
Councillor Sir Ron Watson

Substitute

Councillor Bradshaw
Vacancy
Councillor Riley
Councillor Murphy
Councillor Yvonne Sayers
Councillor Spencer
Councillor Lewis
Councillor Sonya Kelly
Councillor Evans
Councillor Prendergast

COMMITTEE OFFICER: Ruth Appleby
Democratic Services Officer
Telephone: 0151 934 2181
E-mail: ruth.appleby@sefton.gov.uk

If you have any special needs that may require arrangements to facilitate your attendance at this meeting, please contact the Committee Officer named above, who will endeavour to assist.

We endeavour to provide a reasonable number of full agendas, including reports at the meeting. If you wish to ensure that you have a copy to refer to at the meeting, please can you print off your own copy of the agenda pack prior to the meeting.

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AGENDA

1. Apologies for absence

2. Declarations of Interest

Members are requested at a meeting where a disclosable pecuniary interest or personal interest arises, which is not already included in their Register of Members' Interests, to declare any interests that relate to an item on the agenda.

Where a Member discloses a Disclosable Pecuniary Interest, he/she must withdraw from the meeting by switching their camera and microphone off during the whole consideration of any item of business in which he/she has an interest, except where he/she is permitted to remain as a result of a grant of a dispensation.

Where a Member discloses a personal interest he/she must seek advice from the Monitoring Officer or staff member representing the Monitoring Officer by 12 Noon the day before the meeting to determine whether the Member should withdraw from the meeting, during the whole consideration of any item of business in which he/she has an interest or whether the Member can remain in the meeting, or remain in the meeting and vote on the relevant decision.

3. Minutes of the meeting held on 17 March 2021 (Pages 5 - 14)

4. Reports submitted by Ernst and Young (EY) External Auditors

a) EY Certification of Claims and Returns Annual Report 2019-2020 (Pages 15 - 26)

Report of the Executive Director of Corporate Resources and Customer Services

b) EY Annual Audit Letter for the Year ended 31 March 2020 (Pages 27 - 62)

Report of the Executive Director of Corporate Resources and Customer Services

c) EY - 2020/2021 Auditor Work on Value for Money (VFM) Arrangements (Pages 63 - 76)

Report of the Executive Director of Corporate Resources and Customer Services

- d) **EY - Sustainability of Local Authority Financial Reporting and External Audit in England - The Sir Tony Redmond Independent Review** (Pages 77 - 88)

Report of the Executive Director of Corporate Resources and Customer Services

5. **Amendments to Motions at Full Council** (Pages 89 - 94)

Report of the Executive Director of Corporate Resources and Customer Services

6. **Corporate Risk Management** (Pages 95 - 124)

Report of the Executive Director of Corporate Resources and Customer Services

7. **Risk and Audit Service Performance** (Pages 125 - 168)

Report of the Executive Director of Corporate Resources and Customer Services

8. **Items submitted by Members in accordance with Rules 115 and 116 of Chapter 4 of the Constitution**

Consideration of Elected Members' reports submitted in accordance with Rules 115 and 116 of Chapter 4 of the Constitution:

Rule 115 - Committee Members may, by giving written notice to the Chief Legal and Democratic Officer at least seven working days before the normal day for publication of agendas for a Committee meeting, submit an item for consideration at that meeting. Items submitted shall appear on the agenda as the last item for decision and in the case of information items under the title 'items submitted by Members'.

Rule 116 - A Member shall be limited to the submission of one such item per Committee meeting.

- a) **Bootle New Strand and Surrounding Area - Cllr Sir Ron Watson** (Pages 169 - 178)

Report of the Executive Director of Corporate Resources and Customer Services